

DEPARTMENT OF COMMERCE

MARYLAND INNOVATION INVESTMENT TAX CREDIT ADMIN



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Maryland Innovation Investment Tax Credit Application for Certification for FY 2022 Form A - Investor

This application must be submitted online in order to participate in the electronic queue registration.

Form A

General Information

Are you an: Individual Investor Corporation Investor (All Kinds Including Sub-Chapter S) Non Sub-Chapter S Corporation Pass-Through Entities

** 1. Full Legal Name of Organization

*2. Email Address

*3. Federal Tax Identification Number

*4. Legal Form of Entity

- LLC LLP Limited Partnership General Partnership Trust Other:

*5. Primary Contact Person

*Title

*Business Phone

*Phone

*Email

6. Secondary Contact Person

Title

Business Phone

Phone

Email

7. Business Address City
 State Zip
 Business Phone

If the address is in a different country, place the entire address in the Address field, select "Foreign State" in the State field, and 00000 in the zip field

*8. Investment Amount *Your investment will be made in: Cash Convertible Note

A qualified investor may not include a founder or current employee if the Technology company has been in active business for more than five years. For the definitions of founder and current employee, review the FAQs located [here](#).

*9. Are you a founder of the technology company, or related to the founder in a relationship described under [IRC 267\(b\)](#)? Yes No

*10. Are you currently working, or have worked for the past year, for the technology company? Yes No

*11. Are you related to an individual in senior management for the technology company in a relationship described under [IRC 267\(b\)](#)? Yes No

*12. This form may be submitted only if the technology company has submitted the Form B. Please type the first three letters of the Technology Company, and select the company name from the populated list. If no company name shows up, then please verify the company name and submission of the Form B with the technology company.

Type first 3 letters of the Technology company:

Please Save Often

You are missing a required answer. You can still save, but you will be unable to submit until every field is filled.

Attachments

Additional documents may be uploaded for each question.

*A1 A certified copy of organization’s articles of incorporation, articles of organization, partnership agreements, declaration of trust, will or other organizing documents.

Insert additional document

*A2 An executed copy of the organization’s operating agreement, partnership agreement, or other document designating the managing member or managing partner as well as granting the authority to make investments.

Insert additional document

*A3 A Certificate of Status issued by the Maryland State Department of Assessments and Taxation (SDAT) issued within the last 30 days. An SDAT Certificate of Status may be requested [here](#). For foreign entities, a current certificate of status from the state/jurisdiction of organization.

Insert additional document

*A4 The names and business addresses of all officers, directors, managing members, general partners, or other persons with management authority over the business of the applicant as the case may be.

Insert additional document

*A5 The name, address and percentage of ownership of each person or entity who or which holds equity interests in the applicant of any class or classes. Names of any individual owners of any privately-held entity must be provided. You must disclose all current owners including the names of any individual owners of any privately-held entity that is a direct or indirect owner of the investor company. You must list their full and legal names. The total percentage must equal 100%.

Insert additional document

Please Save Often

You are missing a document. You can save, but you cannot submit until every document is filled.

Additional Information

- * 13.1 Has the Applicant, or any partner, director, officer, member, or principal stockholder been convicted of a criminal offense other than a traffic violation? Yes No
- * 13.2 Has the Applicant, or any partner, director, officer, member, or principal stockholder been a debtor in bankruptcy or insolvency proceedings? Yes No
- * 13.3 Has the Applicant, or any partner, director, officer, member, or principal stockholder been a party to any litigation in the past two years? Yes No
- * 13.4 Does the Applicant, or any partner, director, officer, member, or principal stockholder owe any outstanding judgments? Yes No
- * 13.5 Does the Applicant, or any partner, director, officer, member, or principal stockholder owe any delinquent taxes? Yes No
- * Are there any other relevant information or documentation you would like to add to support this application? Yes No

*14. Provide the percentage of ownership in the proposed Qualified Maryland Technology Company.

*Before the proposed acquisition of ownership

* After the proposed acquisition of ownership

Please Save Often

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Statistical Information

This form is for gathering statistical data only. This form will be separated from the application and the information provided in it will not be a part of the application approval process. Furnishing this information is voluntary; failure to do so will have no effect on the approval of the requested financial assistance.

If the applicant will be providing the requested financial assistance to another recipient (e.g. a facility user or borrower), "Respondent" should be the recipient of the financial assistance.

Is Respondent the: Applicant and/or Recipient (or Facility User) Respondent does not wish to furnish this information

Respondent is: a business organization an individual
 publicly held entity or other organization not classifiable as owned by individuals of a particular gender, race, ethnicity, or veteran status.

Please Save Often
Save

Affidavit

Under penalties of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, it is true, correct, and accurately lists the amount of investments during the tax year. I certify that after making the investment I will be the direct owner of the investment and no portion of the funds used to make the investment were derived, directly or indirectly, from the QMTC/Company or any owner of the QMTC/Company.

*Signature: *Title:
(Signatory's Email if not the contact:)

You are missing either the affirm box or the signature and Title. You can still save, but you will not be able to submit until all of the required fields are filled properly.

Please Save Often
The Submit button will only become available once all of the required fields are filled properly
Save Submit

If you need to add additional documents or comments, please add them below:
If you need to have the application unlocked (which will remove the signature and allow you to edit and resign the application) please contact Lani Sinfield at (410) 767-4041 | lani.sinfield1@maryland.gov

Additional Comments:

Additional documents:

Please Save Often

Save

Locked

Rejected

First Approval - Cover: Certificate:

Proof Locked

Final Approval - Cover: Certificate:

Email Message:

Rejection choices:

- The fiscal year program is now closed.
- Tax credits certificates have been allocated in the amounts totaling the programs funding for the fiscal year.
- You do not meet the eligibility requirements of a qualified investor under the statute.
- It's not you it's me
- Your investment is ineligible for the tax credit because it was not made within the required timeframe. The Initial Certificate is hereby revoked.
- The company receiving the investment funds does not qualify as a Qualified Maryland Technology Company under the statute.

First datestamp:

Final datestamp:

Every datestamp:

User Name: Reference Number:

Queue Number: ID Number:

Allowable Credit: Final Credit:

Comments: