



Maryland Biotechnology Investment Incentive Tax Credit
Application for Certification for FY 2023
Form A - Investor
This application must be submitted online
in order to participate in the electronic queue registration.

Form A

General Information

Are you an: Individual Investor Corporation Investor (All Kinds Including Sub-Chapter S) Non Sub-Chapter S Corporation Pass-Through Entities

Note: Any certificate issued by Commerce will use the applicant type and name as listed in this application. Therefore, you must select the appropriate applicant type and name that will be investing under the Biotechnology Investment Incentive Tax Credit when completing this application.

*1. Name of Applicant *2. Date of Birth (mm-dd-yyyy)
*3. Email Address *4. Social Security Number
*5. Phone

*5. Are you making this investment with your spouse: Yes No
Note: Select this option only if the investment documents include your spouse.

*6. Mailing Address: *City:
*State: *Zip:

If the address is in a different country, place the entire address in the Address field, select "Foreign State" in the State field, and 00000 in the zip field

*Title/Occupation *Employer Name

Secondary Contact Person Title
Business Phone Phone
Email

7. Business Address City
State Zip
Business Phone

If the address is in a different country, place the entire address in the Address field, select "Foreign State" in the State field, and 00000 in the zip field

*8. Investment Amount *Your investment will be made in: Cash Convertible Note
Note: Investment includes a convertible debt created by the qualified investor applying for the tax credit within 1 year prior to the date that the qualified investor submit this application. The convertible debt instrument should not be converted into an equity interest until Commerce issues the Initial Certificate of Eligibility.

A qualified investor may not include a founder or current employee if the biotechnology company has been in active business for more than five years.
For the definitions of founder and current employee, review the FAQs located [here](#).

- *9. Are you a founder of the biotechnology company, or related to the founder in a relationship described under IRC 267(b)? Yes No
- *10. Are you currently working, or have worked for the past year, for the biotechnology company? Yes No
- *11. Are you related to an individual in senior management for the biotechnology company in a relationship described under IRC 267(b)? Yes No

Note: A qualified investor may not include a founder or current employee if the biotechnology company has been in active business for more than five years. For the definitions of founder and current employee, review the FAQs located [here](#).

*12. Form A can only be submitted if the biotechnology company has submitted the Form B. The Form B application must be submitted before your investor application may be submitted.

Please type the first three letters of the Biotechnology Company that you plan on investing in, and then select the company that you wish to invest in. If no company shows up, then please verify the company name and submission of the Form B with the biotechnology company.

Type first 3 letters of biotechnology company:

Please Save Often

You are missing a required answer. You can still save, but you will be unable to submit until every field is filled.

Additional Information

- * 14.1 Has the Applicant, or any partner, director, officer, member, or principal stockholder been convicted of a criminal offense other than a traffic violation? Yes No
- * 14.2 Has the Applicant, or any partner, director, officer, member, or principal stockholder been a debtor in bankruptcy or insolvency proceedings? Yes No
- * 14.3 Has the Applicant, or any partner, director, officer, member, or principal stockholder been a party to any litigation in the past two years? Yes No
- * 14.4 Does the Applicant, or any partner, director, officer, member, or principal stockholder owe any outstanding judgments? Yes No
- * 14.5 Does the Applicant, or any partner, director, officer, member, or principal stockholder owe any delinquent taxes? Yes No
- * Are there any other relevant information or documentation you would like to add to support this application? Yes No

*15. Provide the percentage of ownership in the proposed Qualified Maryland Biotechnology Company.

- * Before the proposed acquisition of ownership
- * After the proposed acquisition of ownership

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Statistical Information

This form is for gathering statistical data only. This form will be separated from the application and the information provided in it will not be a part of the application approval process. Furnishing this information is voluntary; failure to do so will have no effect on the approval of the requested financial assistance.

If the applicant will be providing the requested financial assistance to another recipient (e.g. a facility user or borrower), "Respondent" should be the recipient of the financial assistance.

Is Respondent the: Applicant and/or Recipient (or Facility User) Respondent does not wish to furnish this information

Respondent is: a business organization an individual
 publicly held entity or other organization not classifiable as owned by individuals of a particular gender, race, ethnicity, or veteran status.

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Affidavit

Under penalties of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, it is true, correct, and accurately lists the amount of investments during the tax year. I certify that after making the investment I will be the direct owner of the investment and no portion of the funds used to make the investment were derived, directly or indirectly, from the QMBC/Company or any owner of the QMBC/Company.

*Signature: *Title:
(Signatory's Email if not the contact:)

You are missing either the affirm box or the signature and Title. You can still save, but you will not be able to submit until all of the required fields are filled properly.

Please Save Often

The Submit button will only become available once all of the required fields are filled properly

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Submit